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February 20, 2004

WRITER'S DIRECT NUMBER: (202) 772-8582 INTERNET ADDRESS: PJACKMAN@SKGF.COM

Art Unit 1646

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/518,383; Filed: March 3, 2000 For: **Human G-Protein Coupled Receptors** 

Inventors:

Li et al.

Our Ref:

1488.1220001/EKS/PAJ

MAR 0 1 20

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal (PTO/SB/17);
- 2. First Supplemental Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(c);
- 3. Form PTO-1449 (3 pages) listing nine (9) documents;
- 4. Document Nos. AE1, AN1, AO1, AS4, AT4, AR5, AS5, AT5 and AR6;
- 5. Status Inquiry Letter;
- 6. Credit Card Payment Form in the amount of \$180.00 to cover filing fee; and
- 7. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox P.L.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents February 20, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Peter A. Jackman

Attorney for Applicants

Registration No. 45,986

PAJ/aye

Enclosures

MAR 0 1 2004

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SUBMITTED BY

Name (Print/Type)

Signature

Jackman

Peter A

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number of the control number								
FEE TRANSMITTA	Complete if Known							
for FY 2004	Filing Date March 3, 2000							
Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor Yi Li							
	Examiner Name Basi, N.							
Applicant claims small entity status. See 37 CFR 1.27	Art Unit 1646							
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No. 1488.1220001/EKS/PAJ							
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
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*Charge any deficiencies or credit any overpayments in	Large Entity   Small Entity							
Deposit Account: the fees to Deposit Acct. No. 19-0036.  Deposit	Fee Fee Fee Fee Fee Description Code (\$) Fee Paid							
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Deposit	1052 50 2052 25 Surcharge - late provisional filing fee or							
Account Name Sterne Kessler, Goldstein & Fox P.L.L.C.	cover sheet 1053 130 1053 130 Non-English specification							
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  X  Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination							
Charge fee(s) indicated below X Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to							
Charge fee(s) indicated below, except for the filing fee	Examiner action  1805 1,840* 1805 1,840* Requesting publication of SIR after							
to the above-identified deposit account.	Examiner action							
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month							
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month							
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month							
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1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month							
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal							
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal							
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing - 1451 1,510 1451 1,510 Petition to institute a public use proceeding							
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding  1452 110 2452 55 Petition to revive - unavoidable							
SUBTOTAL (1) $(\$)$ 0.00	1453 1,330 2453 665 Petition to revive - unintentional							
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)							
Fee from Extra Claims <u>below</u> <u>Fee Paid</u>	, , , , ,							
Total Claims 20 **= X =	1503 640 2503 320 Plant issue fee							
Independent Claims X = X	1460 130 1460 130 Petitions to the Commissioner							
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)							
Large Entity   Small Entity	1806 180 1806 180 Submission of Information Disclosure Stmt 180.00							
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)							
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after final rejection							
1201 86 2201 43 Independent claims in excess of 3	(37 CFR 1.129(a))							
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))							
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)							
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application							
SUBTOTAL (2) (\$) 0.00	Other fee (specify)							
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00							
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

Telephone

Date

45,986

(202) 371-2600





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 5754

Li et al.

Art Unit:

1646

Appl. No.: 09/518,383

Examiner:

Basi, N.

Filed: March 3, 2000

Atty. Docket: 1488.1220001/EKS/PAJ

For: Human G-Protein Coupled

Receptors

First Supplemental Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(c)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on June 9, 2000 in connection with the above-captioned application. Copies of the documents are provided.

The Examiner's attention is directed to the following co-pending U.S. patent applications which are directed to related technical subject matter:

U.S. Application No. 09/518,381, Li et al., filed March 3, 2000 and submitted herewith as document AR6; and

U.S. Application No. 09/827,937, Li et al., filed April 9, 2001, published now as U.S. Published Application No. 2002/0052043 A1 and submitted herewith as document AE1.

The identification of these U.S. patent applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an

action that otherwise closes prosecution in the application. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

Documents AN1, AO1, and AS5 were cited in a European search report (Document AT5) by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO 1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Peter A. Jackman

Attorney for Applicants

Registration No. 45,986

Date: Fet. 20, 2004

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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**STATEMENT** 

ATTY. DOCKET NO. 1488.1220001/EKS/PAJ

APPLICATION NO. 09/518,383

Page 2 of 3

FIRST NAMED INVENTOR Li et al.

FILING DATE March 3, 2000

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Page 3 of 3

ATTY. DOCKET NO. 1488.1220001/EKS/PAJ 09/518,383

FORM PTO-1449

FIRST NAMED INVENTOR
Li et al.

FILING DATE March 3, 2000 1646

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	AR	OTHER (Including Author, Title, Date, Pertinent Pages, etc.)  Copy of Co-Pending U.S. Patent Application No. 09/518,381, Li et al., filed March 3, 2000 (NOT PUBLISHED)								
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